feb. 24,2004

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Date Submitted: February 24, 2004

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Sheet 1 of 26

| Complete if Known               |                      |  |  |  |  |  |  |  |
|---------------------------------|----------------------|--|--|--|--|--|--|--|
| <b>Prior Application Number</b> | 10/070,021 0/784,917 |  |  |  |  |  |  |  |
| Prior Appl. Filing Date         | 02/10/2002 2 24/2004 |  |  |  |  |  |  |  |
| First Named Inventor            | Peng Cho TANG        |  |  |  |  |  |  |  |
| Group Art Unit                  | Unassigned 1020      |  |  |  |  |  |  |  |
| Examiner Name                   | Unassigned Stockton  |  |  |  |  |  |  |  |
| Attorney Docket Number          | 034536-1150          |  |  |  |  |  |  |  |

|                    |              | · · · · · · · · · · · · · · · · · · · |                               | U.S. PATENT DOCUMENT                            | TS .   |  |
|--------------------|--------------|---------------------------------------|-------------------------------|---|--|--|
| Examiner Initials* | Cite<br>No.1 | U.S. Patent I                         | Kind<br>Code <sup>2</sup> (if | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
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| Date<br>Considered | 9/29/ | 05 |
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|-------|-----------------|--------------|----------|---------------------------------|------------------|--|
|       | INFORMATIC      | N DISCLO     | SURE     | <b>Prior Application Number</b> | 10/075,921       |  |
|       | STATEMENT       | BY APPLI     | CANT     | Prior Appl. Filing Date         | 02/19/2002       |  |
|       | Data Cubmittadi | . Cobaros 3  | 24 2004  | First Named Inventor            | Peng Cho TANG    |  |
|       | Date Submitted  | . rebluary 2 | 24, 2004 | Group Art Unit                  | Unassigned       |  |
|       | (use as many sl | heets as ned | cessary) | Examiner Name                   | Unassigned       |  |
| Sheet | 2               | of           | 26       | Attomey Docket Number           | 034536-1150      |  |

|                       |                          | <u></u>       |                              | U.S. PATENT DOCUMENT                            | rs   |  |
|-----------------------|--------------------------|---------------|------------------------------|---|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent I | Code <sup>2</sup> (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
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| Examiner<br>Signature | Ham J. Stock | Date<br>Considered | 9/29/05 |
|-----------------------|--------------|--------------------|---------|

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Date Submitted: February 24, 2004

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| С                        | omplete if Known |   |
|--------------------------|------------------|---|
| Prior Application Number | 18/070 GT        |   |
| Prior Appl. Filing Date  | 02/19/2002       |   |
| First Named Inventor     | Peng Cho TANG    |   |
| Group Art Unit           | Unassigned       |   |
| Examiner Name            | Unassigned       |   |
| Attorney Docket Number   | 034536-1150      | • |

| FOREIGN PATENT DOCUMENTS  Date of Publication of Pages, Columns, Lines, |                          |    |   |    |   |  |  |    |  |  |  |
|---|--------------------------|----|---|----|---|--|--|----|--|--|--|
| Examiner Initials*  | Cite<br>No. <sup>1</sup> |    | Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known) |    | Name of Patentee or<br>Applicant of Cited Documents               | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | т6 |  |  |  |
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| 285   | A45                      | DE | 2,321,656   | А  | COLGATE-PALMOLIVE<br>CO.  | 11-15-1973   |  | x  |  |  |  |
| Æ   | A46                      | DE | 3,426,419   | A  | BOEHRINGER<br>MANNHEIM GMBH                                       | 01-23-1986   |  | x  |  |  |  |
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|-----------------------|----|--------------|-----------------|--------|
| Examiner<br>Signature | Xa | m. J. Stolls | Date Considered | 9/2/05 |

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Substitute for form 1449B/PTO Complete if Known 10/076,621 **Prior Application Number** INFORMATION DISCLOSURE 02/19/2002 Prior Appl. Filing Date STATEMENT BY APPLICANT Peng Cho TANG First Named Inventor Date Submitted: February 24, 2004 Group Art Unit Unassigned **Examiner Name** Unassigned (use as many sheets as necessary)

Attorney Docket Number

|     | Examiner<br>Initials* | Cite<br>No.1 | F<br>Office     | oreign Patent Documen<br>3 Number <sup>4</sup> Kind C<br>(if kno | t<br>ode <sup>5</sup> | Name of Patentee or<br>Applicant of Cited Documents | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | Τ <sup>6</sup> |
|-----|-----------------------|--------------|-----------------|--|-----------------------|---|--|--|----------------|
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|     | <i>B</i> 8            | A55          | EP              | 0 788 890  | A1                    | AGFA-GEVAERT  | 08-13-1997   |  |                |
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|     | J. 188                | A57          | FR              | 2.689.397  | A1                    | ADIR ET COMPAGNIE                                   | 10-08-1993   |  | ×              |
| 7   | HOC                   | A58          | / <del>E</del>  | 65452  |                       | RICHTER GEDEON<br>BEGYESZETI GYAR BY                | -06-28-1994  |  | ر              |
|     | <i>BS</i>             | X59          | JP              | 62-29570   | A                     | KANEGAFUCHI CHEM KK                                 | 02-07-1987   |  | ×              |
|     | <b>B</b>              | A60          | JP <sub>.</sub> | 62-39564   | Α                     | KANEGAFUCHI CHEM KK                                 | 02-20-1987   |  | х              |
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|     | <b>88</b>             | A62          | JP              | 5-58894  | Α                     | KANEKA CORP   | 03-09-1993   |  | x              |
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|     | Æ                     | A64          | GB              | 835,473  |                       | Norman SENIOR                                       | 05-18-1960   |  |                |
|     | <b>&amp;</b>          | A65          | wo              | 88/07035   | A1                    | KANEGAFUCHI KAGAKU<br>KOGYO KABUSHIKI<br>KAISHA     | 09-22-1988   |  |                |
|     | Æ                     | A66          | wo              | 91/13055   | A2                    | FARMITALIA CARLO<br>ERBA SRL                        | 09-05-1991   |  |                |
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| S C | NA<br>VAR             | -A68_        | wo              | 92/03736   | A1                    | SEIKAGAKU KOGYO<br>KABUSHIKI KAISHA                 | 03-05-1992   |  |                |
|     | **                    | A69          | wo              | 92/07830   | A2                    | PFIZER INC.   | 05-14-1992   | ,  |                |
|     | 88S                   | A70          | wo              | 92/20642   | A1                    | RHONEPOULENC RORER INTERNATIONAL                    | 11-26-1992   |  |                |

Examiner Signature Considered 9/29/05

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|          | INFORMATION I        | DISCLO    | SURE     | <b>Prior Application Number</b> | 40/078:624        |  |
|          | STATEMENT BY         | APPLI     | CANT     | Prior Appl. Filing Date         | 02/19/2002        |  |
|          | Data Culturitied, Fo |           | 24 2004  | First Named Inventor            | Peng Cho TANG     |  |
|          | Date Submitted: Fe   | ebruary A | 24, 2004 | Group Art Unit                  | Unassigned        |  |
|          | (use as many shee    | ts as ne  | cessary) | Examiner Name                   | Unassigned        |  |
| Sheet    | 5                    | of        | 26       | Attorney Docket Number          | 034536-1150       |  |

| ľ |                    |                          |        |   | FC                      | REIGN PATENT DOCUMENT                               | S  |  |                |
|---|--------------------|--------------------------|--------|---|-------------------------|---|--|--|----------------|
|   | Examiner Initials* | Cite<br>No. <sup>1</sup> | Office | oreign Patent Documen  Number <sup>4</sup> Kind C | it<br>Code <sup>5</sup> | Name of Patentee or<br>Applicant of Cited Documents | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|   | <b>B</b>           | A71                      | wo     | 92/21660  | A1                      | PFIZER INC.   | 12-10-1992   |  |                |
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|   | <b>A</b>           | A77                      | wo     | 95/01349  | A1                      | FARMITALIA CARLO<br>ERBA SRL                        | 01-12-1995   |  |                |
| ı | £2                 | A78                      | wo     | 95/14667  | A1                      | PFIZER INC.   | 06-01-1995   |  |                |
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| Ó | m                  | A84                      | wa     | 96/32380  | AL                      | PHARMACIA S.P.A.                                    | 10-17-1996   |  |                |
| 1 | <b>A</b>           | A85                      | wo     | 96/40116  | A1                      | SUGEN, INC.   | 12-19-1996   |  |                |
|   | <b>8</b>           | A86                      | wo     | 97/25986  | A1                      | TAIHO<br>PHARMACEUTICAL CO.,<br>LTD.                | 07-24-1997   |  |                |
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Examiner Signature Date Considered 9/29/05

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|       | INFORMATIO                        | ON DISCLO     | SURE     |   |               |  |  |
|       | STATEMENT                         | BY APPLI      | CANT     | Prior Appl. Filing Date                               | 02/19/2002    |  |  |
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| Examiner Initials* | Cite<br>No. <sup>1</sup> | F<br>Office | oreign Patent D | Ocument<br>Kind Code <sup>5</sup><br>(if known) | Name of Patentee or<br>Applicant of Cited Documents | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
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|   |                |               |          | <b>Prior Application Number</b> | 40/070-075        |  |  |  |
|   |                |               |          | Prior Appl. Filing Date         | 02/19/2002        |  |  |  |
|   | Data Cubmittad | l. Cohmica.   | 24 2004  | First Named Inventor            | Peng Cho TANG     |  |  |  |
|   | Date Submitted | i: February a | 24, 2004 | Group Art Unit                  | Unassigned        |  |  |  |
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| Sheet   | 7              | of            | 26       | Attorney Docket Number          | 034536-1150       |  |  |  |

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|   |                                   |             |          | Prior Application Number 10/075,69/5 |                   |  |  |
|   |                                   |             |          | Prior Appl. Filing Date              | 02/19/2002        |  |  |
|   | Date Submitted: February 24, 2004 |             |          | First Named Inventor                 | Peng Cho TANG     |  |  |
|   | Date Submitte                     | o: rebluary | 24, 2004 | Group Art Unit                       | Unassigned        |  |  |
|   | (use as many sheets as necessary) |             |          | Examiner Name                        | Unassigned        |  |  |
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| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT |                                   |             |          | <b>Prior Application Number</b> | 10/025,021        |  |  |
|  |                                   |             |          | Prior Appl. Filing Date         | 02/19/2002        |  |  |
|  |                                   |             |          | First Named Inventor            | Peng Cho TANG     |  |  |
|  | Date Submitted:                   | repruary 2  | 24, 2004 | Group Art Unit                  | Unassigned        |  |  |
|  | (use as many sheets as necessary) |             |          | Examiner Name                   | Unassigned        |  |  |
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|       | Date Submitted: I | ebruary 4  | 24, 2004 | Group Art Unit  | Unassigned    |  |
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|       | STATEMEN       | T BY APPLI   | CANT     | Prior Appl. Filing Date         | 02/19/2002        |  |
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| INFORMATION DISCLOSURE            |                |               |          | <b>Prior Application Number</b> | 10/07-19/4        | · |  |
|                                   | STATEMENT      | T BY APPLI    | CANT     | Prior Appl. Filing Date         | 02/19/2002        |   |  |
| Date Submitted: February 24, 2004 |                |               |          | First Named Inventor            | Peng Cho TANG     |   |  |
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|       | STATEMENT BY        | APPLI    | CANT     | Prior Appl. Filing Date  | 02/19/2002       |  |
|       | Data Cubadhadi Fab  |          | 4 2004   | First Named Inventor     | Peng Cho TANG    |  |
|       | Date Submitted: Feb | ilualy 2 | 4, 2004  | Group Art Unit           | Unassigned       |  |
|       | (use as many sheets | as nec   | cessary) | Examiner Name            | Unassigned       |  |
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| STATEMENT BY APPLICANT            |                                   |            |          | Prior Appl. Filing Date         | 02/19/2002        |  |  |
|                                   | Date Submitted: February 24, 2004 |            |          | First Named Inventor            | Peng Cho TANG     |  |  |
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|                                   | STATEMENT                         | BY APPLI      | CANT     |                                 | 02/19/2002        |  |  |
|                                   | Date Submitted: February 24, 2004 |               |          | First Named Inventor            | Peng Cho TANG     |  |  |
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|                                   | STATEMENT BY                      | APPLIC   | CANT             | Prior Appl. Filing Date         | 02/19/2002    |  |
|                                   | Date Submitted: February 24, 2004 |          |                  | First Named Inventor            | Peng Cho TANG |  |
|                                   | Date Submitted. Fe                | biualy 2 | 4, 2004          | Group Art Unit                  | Unassigned    |  |
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| STATEMENT BY APPLICANT        |                                   |             | ICANT    | Prior Appl. Filing Date         | 02/19/2002  |  |
|                               | Date Submitted: February 24, 2004 |             |          | First Named Inventor            | Peng Cho TANG   |  |
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| STATEMENT BY APPLICANT            |                                      |              | CANT     | Prior Appl. Filing Date         | 02/19/2002    |  |
|                                   | Data O. Lastina d. Eshimona 24, 2004 |              |          | First Named Inventor            | Peng Cho TANG |  |
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| Examiner<br>Signature | Lame | Stoll | Date<br>Considered | 9/29/00 |
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|  |             |          | Prior Application Number | Prior Application Number 40078.62 |
| STATEMENT BY APPLICANT                               |             |          | Prior Appl. Filing Date  | 02/19/2002                        |
| Date Submitted: February 24, 2004                    |             |          | First Named Inventor     | Peng Cho TANG                     |
|  |             |          | Group Art Unit           | Unassigned                        |
| (use as many s                                       | heets as ne | cessary) | Examiner Name            | Unassigned                        |
| 19   | of          | 26       | Attorney Docket Number   | 034536-1150                       |

| NON PATENT LITERATURE DOCUMENTS |                          |  |   |  |  |
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|  | INFORMATI | ON DISCLO | SURE     | <b>Prior Application Number</b> | 40/070,001    |          |
| STATEMENT BY APPLICANT   |           |           |          | Prior Appl. Filing Date         | 02/19/2002    |          |
|  | D-4- O-1  |           |          | First Named Inventor            | Peng Cho TANG |          |
| Date Submitted: February 24, 2004  (use as many sheets as necessary) |           |           | 24, 2004 | Group Art Unit                  | Unassigned    |          |
|  |           |           | cessary) | Examiner Name                   | Unassigned    |          |
| Sheet  | 20        | of        | 26       | Attorney Docket Number          | 034536-1150   | <u>-</u> |

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Substitute for form 1449B/PTO Complete if Known INFORMATION DISCLOSURE **Prior Application Number** 45,070,021 STATEMENT BY APPLICANT Prior Appl. Filing Date 02/19/2002 First Named Inventor Peng Cho TANG Date Submitted: February 24, 2004 **Group Art Unit** Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned Sheet 21 26 **Attorney Docket Number** 034536-1150

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|   | STATEMEN'  | T BY APPLI | CANT | Prior Appl. Filing Date  | 02/19/2002    |  |
| Date Submitted: February 24, 2004 (use as many sheets as necessary) |            |            |      | First Named Inventor     | Peng Cho TANG |  |
|   |            |            |      | Group Art Unit           | Unassigned    |  |
|   |            |            |      | Examiner Name            | Unassigned    |  |
| Sheet   | 22         | of         | 26   | Attorney Docket Number   | 034536-1150   |  |

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| Examiner<br>Signature | Lan | 2-Stelle | Date<br>Considered | 9/29/05 |
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| STATEMEN  | T BY APPL | ICANT | Prior Appl. Filing Date              | 02/19/2002    |  |
| Date Submitted: February 24, 2004 (use as many sheets as necessary) |           |       | First Named Inventor                 | Peng Cho TANG |  |
|   |           |       | Group Art Unit                       | Unassigned    |  |
|   |           |       | Examiner Name                        | Unassigned    |  |
| 23  | of        | 26    | Attorney Docket Number               | 034536-1150   |  |

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| Examiner<br>Signature | Kam f. Shal | Date<br>Considered | 29/05 |
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|                               | INFORMATION        | DISCLOS    | SURE     | <b>Prior Application Number</b> | -10/076.034 —     |  |  |
|                               | STATEMENT B        | Y APPLIC   | CANT     | Prior Appl. Filing Date         | 02/19/2002        |  |  |
|                               | Date Submitted: Fo | obalos: 2  | 4 2004   | First Named Inventor            | Peng Cho TANG     |  |  |
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|                                   | STATEMEN'      | T BY APPL    | CANT     | Prior Appl. Filing Date             | 02/19/2002        |  |
|                                   | Data Cubasita  | l. Fahmiani  | 24 2004  | First Named Inventor                | Peng Cho TANG     |  |
| Date Submitted: February 24, 2004 |                |              |          | Group Art Unit                      | Unassigned        |  |
|                                   | (use as many s | heets as ne  | cessary) | Examiner Name                       | Unassigned        |  |
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|       | STATEMENT        | BY APPL    | CANT     | Prior Appl. Filing Date         | 02/19/2002    |  |  |
|       | Data Cubasittadi | February 1 | 24 2004  | First Named Inventor            | Peng Cho TANG |  |  |
|       | Date Submitted:  | rebluary   | 24, 2004 | Group Art Unit                  | Unassigned    |  |  |
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|         | STATEMENT       | BY APPLI   | CANT     | Filing Date            | 02/24/2004       | MAY 0 5 2004     |  |  |
|         |                 |            |          | First Named Inventor   | Peng Cho TANG    | 3,0, 3           |  |  |
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